

S-PTO  
14749 U.S. 613149  
07/03/03UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 393032038700

First Inventor Kotaro TERADA

Title AUDIO SIGNAL PROCESSING DEVICE

Express Mail Label No. EV147810295US

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV147810295US

Date of Deposit: July 3, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Godofredo Campos

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents  
ADDRESS TO: Mail Stop Patent Application  
P.O. Box 1450, Alexandria, VA 22313-14501.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)2.  Applicant claims small entity status.  
See 37 CFR 1.27.8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)3.  Specification [Total Pages 32] 1a.  Computer Readable Form (CRF)

(preferred arrangement set forth below)

b.  Specification Sequence Listing on:

- Descriptive title of the Invention

i.  CD-ROM or CD-R (2 copies); or

- Cross Reference to Related Applications

ii.  paper

- Statement Regarding Fed sponsored R &amp; D

c.  Statements verifying identity of above copies

- Reference to sequence listing, a table, or a computer program listing appendix

- Background of the Invention

- Brief Summary of the Invention

- Brief Description of the Drawings (if filed)

- Detailed Description

- Claim(s)

- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets 10] 19.  Assignment Papers (cover sheet & document(s))5.  Oath or Declaration [Total Pages 3] 110.  37 CFR 3.73(b) Statement  
(where there is an assignee) Power of Attorney  
By Assigneea.  Newly executed (original or copy)11.  English Translation document (if applicable)b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)12.  Information Disclosure Statement  
(IDS)/PTO-1449 Copies of IDS  
Citation(s): 1i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)13.  Preliminary Amendment14.  Return Receipt Postcard (MPEP 503)  
Should be specifically itemized15.  Certified Copy of Priority Document  
(if foreign priority is claimed)16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.17.  Other6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.:

Prior application information:

Examiner:

Group / Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor  Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	David L. Fehrman		
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Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600
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Signature	David L. Fehrman		
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Date July 3, 2003

# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

 Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$790.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Kotaro TERADA
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	393032038700

## METHOD OF PAYMENT

 Check  Credit Card  Money Order  Other

Deposit Account Number

03-1952

Deposit Account Name

Morrison &amp; Foerster LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity

Small Entity

## Fee Description

Fee Paid

Fee Code	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee Paid
1001	750	2001	375	Utility filing fee	750
1002	330	2002	160	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$750.00)

## 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims	14	- 20 =	0	x	18	=	\$0
Independent Claims	2	- 3 =	0	x	84	=	\$0

Multiple Dependent

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per properties (times number of properties)	40
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claims, if not paid	
1204	84	2204	42	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$0)				Other fee (specify)	

\*\* or number previously paid, if greater; For Reissues, see above.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600	Telephone	(213) 892-5601
Signature				Date	July 3, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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